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To

Thomas W. Smith  
United States Patent & Trademark Office  
Art Unit: 1714  
Confirmation No.: 2654

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Page(s)

69

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1/15/2004

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From

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**OFFICIAL**

Message

Re: Application No. 10/001,741  
Attorney Docket No. D/A1505Q1

Response to Office Action mailed 10/15/2003.

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## CERTIFICATE OF TRANSMISSION

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Cathy Whitney

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## PATENT APPLICATION

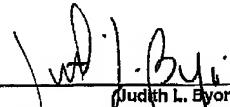
Attorney Docket No.

D/A1505Q1

## AMENDMENT TRANSMITTAL LETTER

In re application of: <b>Thomas W. Smith et al.</b>						
Application Number <b>10/001,741</b>	Filing Date <b>11/15/2001</b>	Examiner <b>C. Shosho</b>			Group Art Unit <b>1714</b>	
Title: <b>PHOTOPROTECTIVE AND LIGHTFASTNESS-ENHANCING SILOXANES</b>						
TO THE COMMISSIONER FOR PATENTS: Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.						
<b>CLAIMS AS AMENDED</b>						
(1)	(2) Claims Remaining after Amend.	(3)	(4) Highest No. Previously Paid For	(5) No. of Extra Claims	(6) Rate	(7) Additional Fee
Total Claims	<b>33</b>	Minus	<b>21</b> =	<b>12</b>	<b>x \$ 18</b>	<b>\$ 216.00</b>
Indep. Claims	<b>7</b>	Minus	<b>3</b> =	<b>4</b>	<b>x \$ 86</b>	<b>\$ 344.00</b>
Total Additional Fee for this Amendment						<b>\$ 560.00</b>

- ☐ No additional fee is required.
- ☒ Charge \$ 560.00 to Deposit Account No. 24-0025. An additional copy of this sheet is enclosed.
- ☒ Please charge any additional fees under 37 CFR 1.16, 1.17, 1.21 and 1.136(a) (but not 1.18), or credit any overpayment, to Deposit Account No. 24-0025. An additional copy of this sheet is enclosed.
- ☒ This constitutes a request for any needed extension of time and an authorization to charge all fees therefor to the above deposit account, if not otherwise specifically requested. This is also an authorization under 37 CFR 1.136(a)(3) to treat any concurrent or future reply, requiring a petition for extension of time, as incorporating a petition for the appropriate extension of time.
- ☐ Additional papers enclosed:

  
 Judith L. Byorick  
 Signature under 37 CFR 1.33 & 34  
 Registration No. 32,606  
 Telephone No. 585.423.4564  
 Date of Signature: 1/15/2004

**Patent Application**  
**Attorney Docket No. D/A1505G1**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s): Thomas W. Smith et al.  
 Application No.: 10/001,741  
 Filed: November 15, 2001  
 Examiner: C. Shosho  
 Art Unit: 1714  
 Confirmation No.: 2654  
 Title: **PHOTOPROTECTIVE AND LIGHTFASTNESS-  
 ENHANCING SILOXANES**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450  
 Sir:

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January 15, 2004

Cathy Whitney  
 Owner of Applicant, Inventor,  
 or Registered Patent Owner

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January 15, 2004  
 Date of Signature

**AMENDMENT**

This Amendment is filed in response to the Office Action mailed October 15, 2003 in the above identified application.

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Application No. 10/001,741

**AMENDMENTS TO THE SPECIFICATION:**

Please replace the amended paragraphs provided below for the indicated pending paragraphs in the specification:

Please replace the following amended Title for the pending Title on page 1:

**AQUEOUS INKS CONTAINING LIGHTFASTNESS-ENHANCING  
 SILOXANESPHOTOPROTECTIVE AND LIGHTFASTNESS-ENHANCING  
 SILOXANES**

Please replace the following amended paragraph for the pending paragraph at page 1, line 3 to page 3, line 11:

Copending Application U.S. Serial No. (not yet assigned) ~~Attorney Docket No. D/A1505G10/001,572~~ filed concurrently herewith, entitled "Photoprotective and Lightfastness-Enhancing Siloxanes," with the named Inventors Thomas W. Smith and Kathleen M. McGrane, the disclosure of which is totally incorporated herein by reference, discloses a compound of one of the formulae